# **Appendix B**

# **Survey of Chiropractic Practice 2014**







This questionnaire is part of a comprehensive study of chiropractic practice that the National Board of Chiropractic Examiners (NBCE) is conducting. The study will also benefit the profession's state boards, associations, and colleges by providing data on current chiropractic practice patterns. The study is critical in the NBCE's development of relevant and credible examinations.

Thank you in advance for completing this important survey.

#### INSTRUCTIONS

Please use a soft (No. 1 or 2) lead pencil, and be careful to avoid making stray marks on the form.

Most questions have several alternative answers. Choose the answer that best applies to your practice, and blacken the appropriate circle. To change your answer, erase your first mark completely, and then blacken the desired circle.

Several questions ask for your input in percentages; please respond with your best estimate.

Your individual answers will be kept confidential.

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 If your mailing address is different from the one on the envelope, please print your name and current mailing address in the boxes below.

| Name    |           |
|---------|-----------|
| Address |           |
| City    | State Zip |
|         |           |

If you would like us to send a news release to your local newspaper recognizing your contribution to this study, please print the exact name and E-mail address of the newspaper in the boxes below.

| Newspaper Name   |  |
|------------------|--|
|                  |  |
| Newspaper E-mail |  |
|                  |  |

3. Would you like to receive a complimentary summary of the study results?

O Yes

O No

4. The final Practice Analysis Report describing the study will include a list of individuals who responded to this survey. Would you like to be included on the list?

O Yes

O No

Do you currently practice chiropractic? (This refers to patient management and not teaching, research, etc.)

O Yes

O No

If you answered "No" to # 5, do not answer any further questions. Simply return the questionnaire in the postage-paid envelope. It is very important that you return the questionnaire for data tracking and statistical purposes. Please put it in the mail today.

6. Hours per week you practice chiropractic:

O 9 or fewer

O 10-19

O 20-29 O 30-39

O 40-49

O 50-59

O 60 or more



### **Demographic Data**

In this section, you are asked to provide background information that will be summarized. No individual responses will be reported.

| 7.  | Sex:   |   | Institution that conferred your Doctor of Chiropractic                                    |
|-----|--|---|---|
|     | O Male<br>O Female   |   | degree:   |
|     | O Female   |   | Anglo-European College of Chiropractic  |
| 8.  | Years in practice:   | ( | Canadian Memorial Chiropractic College  |
|     | O fewer than 2 years   | ( | Cleveland Chiropractic College, Kansas City   |
|     | O 2-4 years  | ( | Cleveland Chiropractic College, Los Angeles   |
|     | O 5-15 years<br>O 16-25 years  | ( | D'Youville College  |
|     | O more than 25 years   | ( | Institut Franco-Europeen de Chiropractique  |
|     | •  | ( | Life University, College of Chiropractic (Life College)                                   |
| 9.  | Number of patients (not patient visits) you                                  | ( | College West  |
|     | personally treat per week:   | ( | Lincoln College of Chiropractic   |
|     | O fewer than 25<br>O 25-49   | ( | Logan College of Chiropractic   |
|     | O 50-99  | ( | Macquarie University (Sydney College of Chiropractic)                                     |
|     | O 100-149  | ( | Murdoch University (School of Chiropractic)   |
|     | O 150-199  | ( | National University of Health Sciences  |
|     | O 200-249  |   | (National College of Chiropractic)  |
|     | O more than 250  | ( | New York Chiropractic College   |
| 10. | Ethnic origin:   | ( | New Zealand College of Chiropractic   |
| 10. | (Mark all that apply) O Asian/Pacific Islander                               | ( | Northwestern Health Sciences University<br>(Northwestern College of Chiropractic)         |
|     | O Black or African American  | ( | Palmer College of Chiropractic, Davenport   |
|     | O White  | ( | Palmer College of Chiropractic, Florida   |
|     | O Hispanic or Latino   | ( | Palmer College of Chiropractic, West  |
|     | O Native American  | ( | Parker University, College of Chiropractic  |
|     | O Other:   | ( | Pennsylvania College of Straight Chiropractic   |
| 11. | Highest level of non-chiropractic education attained:  O High School Diploma | ( | Quantum University (Southern California College of Chiropractic) (Pasadena College)       |
|     | O Associate Degree O Bachelor's Degree                                       | ( | Royal Melbourne Institute of Technology (Phillip Institute of Technology)                 |
|     | O Master's Degree O Doctoral Degree  | ( | Sherman College of Chiropractic   |
|     | O Other:   | ( | O Southern California University of Health Sciences (Los Angeles College of Chiropractic) |
| 12. | Post-graduate diplomate status (or equivalent)                               | ( | Syddansk Universitet Odense   |
|     | through a specialty board, council, academy, college,                        | ( | Texas Chiropractic College  |
|     | or association:  | ( | O University of Bridgeport, College of Chiropractic                                       |
|     | O None/Does not apply  |   | Universite du Quebec a Trois-Rivieres   |
|     | O Work toward diplomate status (or equivalent) but not completed             |   | O University of Western States  |
|     | O Diplomate status (or equivalent) through a                                 |   | O Other:  |

specialty board, council, academy, college, or

association



## **Work Environment**

| Pri  | ncipal practice setting:   |                | 17.              | Do you have staff pri                    | ivileges at a hospi | tal?        |  |  |  |
|------|--|----------------|------------------|--|---------------------|-------------|--|--|--|
| 0 (  | Chiropractic office  |                |                  | O Yes                                    |                     |             |  |  |  |
|      | ntegrated health care facility   |                | (                | O No                                     |                     |             |  |  |  |
|      | Spine surgical center  |                |                  |  |                     |             |  |  |  |
|      | Community health center  |                |                  | Are you currently en                     |                     |             |  |  |  |
| 0    | Other:   |                |                  | provide chiropractic military personnel? | care to active or r | etired      |  |  |  |
|      | nich description best characterizes<br>e in the primary office where you |                |                  | O Yes<br>O No                            |                     |             |  |  |  |
| 0 9  | Sole proprietor  |                |                  |  |                     |             |  |  |  |
| 01   | Partner  |                |                  | If you take radiograp                    | -                   | do you      |  |  |  |
| 0/   | Associate/Employee   |                |                  | use plain film or digi                   |                     |             |  |  |  |
| 0    | Other:   |                |                  | O I do not take radio                    | graphs in my office | 9           |  |  |  |
| \A/I | nat is the population density of th                                      | •              |                  | O Plain film                             |                     |             |  |  |  |
|      | mmunity in which your practice is  |                | (                | O Digital imaging                        |                     |             |  |  |  |
| 0    | City/Urban   |                |                  |  |                     |             |  |  |  |
| 0 9  | Suburb   |                |                  |  |                     |             |  |  |  |
| 0.9  | Small town   |                |                  |  |                     |             |  |  |  |
| 0 9  | Small town/Rural   |                |                  |  |                     |             |  |  |  |
| 0 1  | Rural  |                |                  |  |                     |             |  |  |  |
| A    | Approximately what percentage o  | f your time is | spent on each of | the following function                   | ons during a typica | al week?    |  |  |  |
|      |  | (None)         | (1-25%)          | (26-50%)                                 | (51-75%)            | (76-100%)   |  |  |  |
|      | Patient care and education   | 1 0            | 0                | 0  | 0                   | 0           |  |  |  |
|      | Documentation of care  | 0              | 0                | 0  | 0                   | 0           |  |  |  |
|      | Business management (personnel, marketing, etc.                          | ) O            | 0                | 0  | 0                   | 0           |  |  |  |
| - 1  | of patients that you saw in your prind age categories?                   | actice during  | the past 12 mon  | ths, how many are fr                     | om each of the fo   | llowing sex |  |  |  |
|      |  | (None)         | (1-25%)          | (26-50%)                                 | (51-75%)            | (76-100%)   |  |  |  |
| Sex  | Male   | 0              | 0                | 0  | 0                   | 0           |  |  |  |
| 367  | Female   | 0              | 0                | 0  | 0                   | 0           |  |  |  |
|      | 5 or vounger   | 0              | 0                | 0  | 0                   | 0           |  |  |  |
|      | 5 or younger<br>6 to 17  | 0              | 0                | 0  | 0                   | 0           |  |  |  |
|      | 18 to 30   | 0              | 0                | 0 0                                      |                     |             |  |  |  |
| Age  | 31 to 50   | 0              | 0                | 0  | 0                   | 0           |  |  |  |
|      |  |                |                  |  |                     |             |  |  |  |
|      | 51 to 64   | 0              | 0                | 0  | 0                   | 0           |  |  |  |
|      | 65 or older  | 0              | 0                | 0  | 0                   | 0           |  |  |  |

Appendix



### **Types of Conditions**

Instructions: The following section on pages 5 to 7 contains a list of conditions that chiropractors may see in their practices. Using the scales below, please respond to these conditions in terms of your practice <u>during the past year</u>.

Scales: For each item in this section, you are asked to make judgements using the FREQUENCY, DIAGNOSIS, and MANAGEMENT scales presented below.

#### **FREQUENCY:**

- O Never: if you mark this frequency, leave diagnosis and management blank
- O 1-6 times per year
- O About once per month
- O About once per week
- O About once per day
- O Several times per day

#### **DIAGNOSIS:**

In the majority of cases, did you make the initial diagnosis of this condition concurrent with your subluxation-based diagnosis?

Mark the bubble only if the answer is yes.

#### **MANAGEMENT:**

For those conditions seen in your practice, indicate your most common method of management.

**Not treated** by me in majority of cases. **Treated** or managed solely by me (patient's condition is treated elsewhere)

in majority of cases

Co-managed with other health care provider in majority of cases

DIAGNOSIS MANAGEMENT **FREQUENCY** Marke and Marke EBOHOLE BEINE **EXAMPLES** ED ME DE LEY Contain Sec. 0 0 0 0 0 attention-deficit disorder 0 0 0 eating disorder 0 0 0 0 0  $\bigcirc$ 0 0 0 0 0 major depressive disorder 0 0 0 0 0 0 0 0 schizophrenia 0 0 0 0





# **Types of Conditions (continued)**

| FRE |        |       |     |   | FRE       | QUENCY   |    | ı      |      | NOSIS       |      | MANAGEMENT |
|-----|--------|-------|-----|---|-----------|--|----|--------|------|-------------|------|------------|
| 23. |        | /     | , , | / /                                     | , ,       | ' / / /  |    | /      | , ,  |             | etė. | ////       |
|     |        |       | 1   | Sal |           |  |    | 150kg  |      | Co. St.     | n Co |            |
|     |        |       |     |   |           | \$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\                    |    | (3h g) | ૢૼૢ૾ | 10 Xez      |      |            |
|     | eset / | sine! |     | OUTO                                    | OUT OF    |  | /. |        | 1/   | Zieni<br>Si |      | , rion     |
| 1   | 0      | 0     | 0   | 0                                       | */ 5<br>0 | '/ abdominal agric angunem                                 | 0  |        | 0    | 0           | 0    |            |
| 0   |        |       |     |   |           | abdominal aortic aneurysm                                  |    |        |      |             |      |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | acne, dermatitis, or psoriasis                             | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | adrenal disorder  ALS, multiple sclerosis, or parkinsonism | 0  |        | 0    | 0           | 0    |            |
|     | 0      | 0     | 0   | 0                                       |           | anemia   | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | angina or myocardial infarction                            | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      |       | 0   |   |           | appendicitis   | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | asthma, emphysema, or COPD                                 | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | atelectasis or pneumothorax                                | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | avascular necrosis   | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | benign prostatic hypertrophy                               | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | bone tumor/metastasis                                      | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | bursitis or synovitis                                      | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | carpal or tarsal tunnel syndrome                           | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | childhood respiratory/ear infection                        | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | cholecystitis or pancreatitis                              | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | colitis or diverticulitis                                  | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | concussion/head injury                                     | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | congenital/developmental anomaly                           | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | cranial nerve disorder                                     | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | diabetes/metabolic syndrome                                | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | dislocation of any joint                                   | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | eating disorder  | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | extremity subluxation/joint dysfunction                    | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | eye, ear, nose, or throat disorder                         | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | fibrocystic breast or polycystic ovary                     | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | fibromyalgia   | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | food/environmental allergies                               | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | fracture   | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | functional scoliosis                                       | 0  |        | 0    | 0           | 0    |            |
| 0   | 0      | 0     | 0   | 0                                       | 0         | gastrointestinal infection                                 | 0  |        | 0    | 0           | 0    |            |





# **Types of Conditions (continued)**

| FRE   |                   |                    |          |       | FRE      | QUENCY  |   |  |      | NOSIS |       | MANAGEMENT |
|-------|-------------------|--------------------|----------|-------|----------|---|---|--|------|-------|-------|------------|
| 23. ( | ont.)             | /                  | , ,      | , ,   | , ,      | ' / / /                                       |   | /  | , ,  |       | ei.   | ////       |
|       |                   |                    |          |       | il ne    |   |   | ST S |      | 200   |       |            |
|       |                   | //                 |          |       |          |   |   | 18/8                                     | . લે | 60/3  | 204/9 |            |
| /     | /<br>&/           | ines               | Sign Out | J. S. | St. Only |   | / | 12/                                      | 15/  | Ziene | /205° | Tugue      |
| / <   | e <sup>se</sup> / | \$ \\ \bar{\gamma} | Ø/ \$    | 0 / K | 8/3      | <i>*</i> /                                    | 1 | ?/                                       | / 4  |       |       | 5/         |
| 0     | 0                 | 0                  | 0        | 0     | 0        | headaches                                     | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | heart murmur or rhythm irregularity           | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | hemorrhoid                                    | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | herpes simplex or herpes zoster               | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | hiatal hernia/esophageal reflux               | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | hyperlordosis of cervical or lumbar spine     | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | hypertension/hypotension                      | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | hypolordosis of cervical or lumbar spine      | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | immune system dysfunction                     | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | incontinence                                  | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | infantile colic                               | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | infection of joint/disc/bone                  | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | infertility female/male                       | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | inguinal hernia                               | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | intervertebral disc syndrome                  | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | kidney or bladder tumor                       | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | kidney or urinary tract infection             | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | kidney stones                                 | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | kyphosis of thoracic spine                    | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | menopause                                     | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | menstrual disorder/PMS                        | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | muscle strain/tear                            | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | muscle weakness/atrophy                       | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | myofasciitis                                  | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | nutritional disorder                          | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | obesity                                       | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | occupational/environmental lung disorder      | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | osteoarthritis/degenerative joint disease     | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | osteoporosis or osteomalacia                  | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | peripheral neuritis, neuralgia, or neuropathy | 0 |  | 0    | 0     | 0     |            |
| 0     | 0                 | 0                  | 0        | 0     | 0        | peripheral artery or vein disorder            | 0 |  | 0    | 0     | 0     |            |



# **Types of Conditions (continued)**

| FRE<br>23. (cont.) |   |  |   |   | FRE | QUENCY                                      |    | D | IAGN | OSIS |  | MANAGEMENT |
|--------------------|---|--|---|---|-----|---|----|---|------|------|--|------------|
|                    | · | Sign of State of Stat |   |   |     | E LE    | /4 |   |      |      | i 10 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | pregnancy-related condition                 | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | prostatic carcinoma                         | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | psychological disorder                      | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | radiculitis or radiculopathy                | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | respiratory infection                       | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | rheumatoid/inflammatory arthritis or gout   | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | sexually transmitted disease                | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | sinus condition                             | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | skin cancer                                 | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | sleep disorder                              | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | spinal stenosis/neurogenic claudication     | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | spinal subluxation/joint dysfunction        | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | sprain of any joint                         | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | stroke or cerebrovascular condition         | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | structural scoliosis                        | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | TMJ syndrome                                | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | tendinopathy                                | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | thoracic outlet syndrome                    | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | thyroid disorder                            | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | tumor of lung or respiratory passages       | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | ulcer of stomach, small intestine, or colon | 0  |   | 0    | 0    | 0  |            |
| 0                  | 0 | 0  | 0 | 0 | 0   | vertigo/loss of equilibrium                 | 0  |   | 0    | 0    | 0  |            |



### **Frequency of Professional Functions**

| is secti | CTIONS FOR QUESTIONS 24-71:  on contains a list of professional activities that chiropractors may perform in their  Some activities may not apply to your practice. Please respond to statements in terms     | s |   |                |  | FRE  | QUEI     | ICY                                      |
|----------|---|---|---|----------------|--|------|----------|--|
|          | ractice during the past 12 months, using the "FREQUENCY" scale provided.  |   | \ | / ,            | /_/                                    | 1400 | /*/      | / \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ |
| 1        | R QUESTIONS 24 - 41b. w frequently during the past 12 months did you?   |   |   | 4 Junes 20 4 ( | \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ |      | Sey Park | \$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\  |
| 24.      | obtain a problem-focused case history (i.e. limited to chief complaint)   | 0 | 0 | 0              | 0                                      | 0    | 0        | /  |
| 25.      | obtain a detailed or comprehensive case history (i.e. including: past health history, family health history, biopsychosocial history, and review of systems)  | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| 26.      | perform a comprehensive physical examination (i.e. including: vital signs, EENT, cardiopulmonary, and abdominal examination)  | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| 27.      | perform a focused EENT examination  | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| 28.      | perform a focused cardiopulmonary examination   | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| 29.      | perform a focused abdominal examination   | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| 30.      | perform a focused orthopedic/neurologic examination (i.e. limited to the area of complaint)   | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| 31.      | perform a comprehensive orthopedic/neurologic examination (i.e. <u>not</u> limited to the area of complaint and including: cranial nerves, DTRs, dermatomes, myotomes, spinal ROM, pathologic reflexes, etc.) | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| 32.      | perform a gait analysis   | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| 33.      | perform a cervical, thoracic, lumbopelvic and/or extremity palpation examination  | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| 34.      | take radiographs in your office   | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| 35.      | order radiographs from an outside facility  | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| 36.      | read imaging studies that you did not take or order   | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| 37.      | draw blood, collect urine and/or perform other laboratory tests in your office  | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| 38a.     | order blood, urine, or other laboratory tests from an outside facility  | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| b.       | obtain the results of previously performed laboratory tests   | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| 39a.     | order a nerve conduction velocity (NCV) and/or needle electromyography (EMG) study  | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| b.       | obtain the results of a previously performed NCV or EMG study   | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| 40a.     | order an MRI or CT  | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| b.       | obtain the results of a previously performed MRI or CT  | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| 41a.     | order a bone scan   | 0 | 0 | 0              | 0                                      | 0    | 0        |  |
| b.       | obtain the results of a previously performed bone scan  | 0 | 0 | 0              | 0                                      | 0    | 0        |  |

Appendix В



|      | 993  |  |   |                 |      |  |                 | ICY    |
|------|--|--|---|-----------------|------|--|-----------------|--------|
| 4    | Frequency of Professional Functions (continue  | <u>d)</u>                              | , | / ,             | /. / | 14/  | /*/             | / / \$ |
|      |  |  |   | 1               |      |  |                 |        |
|      | R QUESTIONS 42 - 63.  w frequently during the past 12 months did you?  | \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\ |   | 46 / Jimes Des. |      | 46   000   000   1 | 7 30 000 Meek 1 |        |
| 42.  | <u>perform</u> other specialized studies (e.g. ECG, diagnostic or Doppler ultrasound, bone density, etc.) in your office   | 0                                      | 0 | 0               | 0    | 0  | 0               | ,      |
| 43a. | <u>order</u> other specialized studies (e.g. ECG, diagnostic or Doppler ultrasound, bone density, etc.) from an outside facility   | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| b.   | obtain the results of other previous specialized studies   | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 44.  | develop a differential diagnosis or clinical impression  | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 45.  | search online databases for evidence to assist in patient management   | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 46.  | develop a case management plan   | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 47.  | develop a prognosis  | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 48.  | create complete, readable documentation of a patient's case history and examination findings, the diagnosis and prognosis, and the case management plan  | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 49.  | review with a patient his or her relevant case history and examination findings, diagnosis, prognosis, and case management plan options  | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 50.  | obtain written informed consent for treatment  | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 51.  | completely and legibly document each patient visit in the SOAP note format   | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 52.  | completely and legibly document, on each visit, the patient's presentation in the PART format ( $\underline{p}$ ain/tenderness, $\underline{a}$ symmetry, $\underline{r}$ ange of motion, and $\underline{t}$ issue tone) as required for Medicare reimbursement | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 53.  | monitor a patient's progress or response to treatment utilizing patient-reported outcome measures (e.g. pain and/or disability questionnaires)   | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 54.  | monitor a patient's progress or response to treatment utilizing follow-up radiographic examinations  | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 55.  | write a physical restriction order   | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 56.  | write a narrative report (not daily notes)   | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 57.  | assess the existence of risk factors and contraindications to chiropractic care  | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 58.  | perform an objective assessment of the involved joints' function immediately prior to a chiropractic adjustment  | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 59.  | perform a chiropractic adjustment of the occiput, spine and/or pelvis  | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 60.  | perform a chiropractic adjustment of an extra-spinal articulation  | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 61.  | perform an objective assessment of the involved joints' function immediately following a chiropractic adjustment   | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 62.  | review radiographic images to identify or rule out fracture, dislocation, and other pathology  | 0                                      | 0 | 0               | 0    | 0  | 0               |        |
| 63.  | review radiographic images to determine the possible presence of a spinal listing and/or subluxation   | 0                                      | 0 | 0               | 0    | 0  | 0               |        |

Appendix B



# **Frequency of Professional Functions (continued)**

FREQUENCY

|      |  |   | / | //             |                 |   | / * MO !      |   |
|------|--|---|---|----------------|-----------------|---|---------------|---|
| 1    | R QUESTIONS 64 - 70.  w frequently during the past 12 months did you?  |   |   | 46 / 1985 Per. | 1 40 100 Dear 1 |   | Ser Poor Week |   |
| 64.  | review MRI, CT, or bone scan images to identify or rule out pathology  | 0 | 0 | 0              | O               | O | 0             | ĺ |
| 65.  | review laboratory studies and interpret the results  | 0 | 0 | 0              | 0               | 0 | 0             |   |
| 66.  | review specialized studies such as NCV, EMG, ECG, etc. and interpret the results   | 0 | 0 | 0              | 0               | 0 | 0             |   |
| 67.  | re-examine a patient with physical examination procedures, either periodically or when the patient's condition materially changed              | 0 | 0 | 0              | 0               | 0 | 0             |   |
| 68.  | re-examine a patient with orthopedic/neurologic examination procedures, either periodically or when the patient's condition materially changed | 0 | 0 | 0              | 0               | 0 | 0             |   |
| 69.  | refer a patient to a specialist for consultation or co-management  | 0 | 0 | 0              | 0               | 0 | 0             |   |
| 70.  | release a patient from active care   | 0 | 0 | 0              | 0               | 0 | 0             |   |
| Ho   | R QUESTION 71 a-i. w frequently during the past 12 months did you make specific recommendations a patient regarding?                           |   |   |                |                 |   |               |   |
| 71a. | changing risky or unhealthy behaviors  | 0 | 0 | 0              | 0               | 0 | 0             |   |
| b.   | disease prevention and early screening advice  | 0 | 0 | 0              | 0               | 0 | 0             |   |
| c.   | ergonomic or postural advice   | 0 | 0 | 0              | 0               | 0 | 0             |   |
| d.   | nutritional and dietary recommendations  | 0 | 0 | 0              | 0               | 0 | 0             |   |
| e.   | physical fitness and exercise promotion  | 0 | 0 | 0              | 0               | 0 | 0             |   |
| f.   | relaxation or stress reduction recommendations   | 0 | 0 | 0              | 0               | 0 | 0             |   |
| g.   | self-care strategies   | 0 | 0 | 0              | 0               | 0 | 0             |   |
| h.   | smoking cessation  | 0 | 0 | 0              | 0               | 0 | 0             |   |
|      | other:   |   |   |                |                 |   |               |   |

Appendix B



### Risk Assessment of Professional Functions

### - INSTRUCTIONS FOR QUESTIONS 72-99:

This section contains descriptions of professional activities that chiropractors may perform in their practices in certain circumstances. Please consider the circumstances as presented and, regardless of how frequently you may perform the function, **provide an opinion of the risk to a patient's health or safety** due to a chiropractor's omission or poor performance of the activity using the "RISK" scale provided.

| Co  | R QUESTIONS 72 - 85.  nsider a patient who needs the following professional functions performed so that an propriate working diagnosis and an effective case management plan can be developed.                             |        | F<br>/ | RISI<br>/ | ,                                       | ,       | TIENT |
|-----|--|--------|--------|-----------|---|---------|-------|
|     | t is the risk to the patient's health or safety if a chiropractor omits or poorly orms each of the following functions?  | /<br>& |        |           | / *   *   *   *   *   *   *   *   *   * | Se. Se. |       |
| 72. | a problem-focused case history (i.e. limited to chief complaint)   | 0      | 0      | o         | o                                       | 0       |       |
| 73. | a detailed or comprehensive case history (i.e. including: past health history, family health history, biopsychosocial history, and review of systems)  | 0      | 0      | 0         | 0                                       | 0       |       |
| 74. | a comprehensive physical examination (i.e. including: vital signs, EENT, cardiopulmonary, and abdominal examinations)  | 0      | 0      | 0         | 0                                       | 0       |       |
| 75. | a focused EENT examination   | 0      | 0      | 0         | 0                                       | 0       |       |
| 76. | a focused cardiopulmonary examination  | 0      | 0      | 0         | 0                                       | 0       |       |
| 77. | a focused abdominal examination  | 0      | 0      | 0         | 0                                       | 0       |       |
| 78. | a focused orthopedic and/or neurologic examination (i.e. limited to the area of complaint)   | 0      | 0      | 0         | 0                                       | 0       |       |
| 79. | a comprehensive orthopedic/neurologic examination (i.e. <u>not</u> limited to the area of complaint and including most or all of these: cranial nerves, DTRs, dermatomes, myotomes, spinal ROM, pathologic reflexes, etc.) | 0      | 0      | 0         | 0                                       | 0       |       |
| 80. | a gait analysis  | 0      | 0      | 0         | 0                                       | 0       |       |
| 81. | a cervical, thoracic, lumbopelvic and/or extremity palpation examination   | 0      | 0      | 0         | 0                                       | 0       |       |
| 82. | taking or ordering radiographs   | 0      | 0      | 0         | 0                                       | 0       |       |
| 83. | ordering, performing, or obtaining the results of previously performed blood, urine, or other laboratory test(s)   | 0      | 0      | 0         | 0                                       | 0       |       |
| 84. | determining the location or severity of an injury by ordering or obtaining the results of a previously performed nerve conduction velocity (NCV) and/or needle electromyography (EMG) study                                | 0      | 0      | 0         | 0                                       | 0       |       |
| 85. | ordering or obtaining the results of a previously performed MRI or CT  | 0      | 0      | 0         | 0                                       | 0       |       |



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## Risk Assessment of Professional Functions (continued)

| _                 |                                     |  |   |   |   |   |          |       |
|-------------------|-------------------------------------|--|---|---|---|---|----------|-------|
| Cor               | nsider a <sub>l</sub>               | patient who needs the following professional functions performed so that an eworking diagnosis and an effective case management plan can be developed.   |   | 1 | / |   |          | TIENT |
| 86.               |                                     | s the risk to the patient's health or safety if a chiropractor omits or poorly performs f the following functions?   | / |   |   | 1 3 July 19 19 19 19 19 19 19 19 19 19 19 19 19 | Sew risk |       |
|                   | a.                                  | ordering a bone scan   | 0 | 0 | 0 | 0   | 0        |       |
|                   | b.                                  | obtaining the results of a previously performed bone scan  | 0 | 0 | 0 | 0   | 0        |       |
|                   | c.                                  | ordering or performing other specialized studies (e.g. ECG, diagnostic or Doppler ultrasound, bone density, etc.)  | 0 | 0 | 0 | 0   | 0        |       |
|                   | d.                                  | obtaining the results of other previously performed studies  | 0 | 0 | 0 | 0   | 0        |       |
|                   | e.                                  | referring the patient to a specialist for consultation or co-management  | 0 | 0 | 0 | 0   | 0        |       |
| Cor<br>tha<br>dev | nsider a p<br>et an app<br>veloped. |  |   |   |   |   |          |       |
| 87.               |                                     | <u>s the risk</u> to the patient's health or safety if a chiropractor omits or poorly interprets f the following?  |   |   |   |   |          |       |
|                   | a.                                  | a patient's radiographs (with or without a radiologist's report)   | 0 | 0 | 0 | 0   |          |       |
|                   | b.                                  | a patient's MRI, CT, or bone scan imaging (with or without a radiologist's report)   | 0 | 0 | 0 | 0   |          |       |
|                   | c.                                  | the results of a patient's laboratory study  | 0 | 0 | 0 | 0   | 0        |       |
|                   | d.                                  | the results of a patient's specialized study: e.g. NCV, EMG, ECG, etc. (with or without a specialist's report)   | 0 | 0 | 0 | 0   | 0        |       |
|                   | e.                                  | an online search and evaluation of research evidence relevant to the patient's condition   | 0 | 0 | 0 | 0   | 0        |       |
| 88.               | What i                              | s the risk to the patient's health or safety if a chiropractor omits or poorly interprets diographs to identify the possible presence of a chiropractic listing and/or subluxation?                | 0 | 0 | 0 | 0   | 0        |       |
|                   |                                     | patient whose chiropractor has obtained the patient's chief complaint, history, and n findings.  |   |   |   |   |          |       |
| 89.               | focuse                              | s the risk to the patient's health or safety if a chiropractor omits or poorly develops d differential diagnoses (or clinical impressions) that lead to an appropriate diagnosis ical impression)? | 0 | 0 | 0 | 0   | 0        |       |

Appendix R



adjustment?

# Risk Assessment of Professional Functions (continued)

RISK TO PATIENT FOR QUESTION 90 a - b. Line Consider a patient whose chiropractor has developed an appropriate diagnosis (or clinical , Sil impression). 90. What is the risk to the patient's health or safety if a chiropractor omits or poorly performs the following professional functions? a. the development of a reasonable prognosis 0 0 0 0 0 **b.** the development of a case management plan 0 0 0 0 0 FOR QUESTIONS 91 and 92. Consider a patient whose chiropractor has obtained the patient's case history, examined the patient, and developed an appropriate diagnosis (or clinical impression), prognosis, and case management plan. 91. What is the risk to the patient's health or safety if a chiropractor does not document or 0 0 0 0 0 poorly documents these items? **92.** What is the risk to the patient's health or safety if a chiropractor does not communicate or poorly communicates to the patient these items in such a manner that the patient cannot 0 0 0 0 0 provide an informed consent to treatment? FOR QUESTION 93 a - c. Consider a patient who presents for chiropractic care. 93. What is the risk to the patient's health or safety if a chiropractor omits or poorly performs the following professional functions? a. an assessment of risk factors and contraindications to chiropractic care 0 0 0 0 0 **b.** an objective assessment of the function of the patient's involved joints prior to an 0 0 0 0 0 adjustment c. an objective assessment of the function of the patient's involved joints following an 0 0 0 0 0 adjustment FOR QUESTION 94. Consider a patient whose presentation indicates the need for a chiropractic adjustment and for whom there are no contraindications.

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What is the risk to the patient's health or safety if a chiropractor performs a chiropractic

Appendix B



## Risk Assessment of Professional Functions (continued)

| FOR QUESTION 95 a - c. Consider a patient who presents for chiropractic care.   |   |  |   | RISK |   |   |   |  |
|---|---|--|---|------|---|---|---|--|
| 95.   | What is the risk to the patient's health or safety if a chiropractor omits or poorly records the following types of documentation such that the documentation does <u>not</u> support the care given or can <u>not</u> be effectively understood by other health care providers, payors, or regulators? |  |   |      |   |   |   |  |
|   | a.  | each patient visit in the SOAP note format   | 0 | 0    | 0 | 0 | 0 |  |
|   | b.  | the patient's presentation in the PART format ( $\underline{p}$ ain/tenderness, $\underline{a}$ symmetry, $\underline{r}$ ange of motion, and $\underline{t}$ issue tone) as required for Medicare reimbursement | 0 | 0    | 0 | 0 | 0 |  |
|   | c.  | the patient's progress or response to treatment utilizing patient-reported outcome measures (e.g. pain and/or disability questionnaires)   | 0 | 0    | 0 | 0 | 0 |  |
| FOR QUESTION 96 a - c.  Consider a patient who manifests a material change in his or her presentation or whose case management plan indicates the need for a chiropractor to perform the following professional functions.  96. What is the risk to the patient's health or safety if a chiropractor omits or poorly performs each function such that the findings can not lead to updating a diagnosis or can not be |   |  |   |      |   |   |   |  |
|   |   | ted into an updated case management plan?  |   |      |   |   |   |  |
|   | a.  | repeating physical examination procedures  | 0 | 0    | 0 | 0 | 0 |  |
|   | b.  | repeating orthopedic and/or neurologic examination procedures  | 0 | 0    | 0 | 0 | 0 |  |
|   | c.  | obtaining follow-up radiographs to monitor the patient's progress  | 0 | 0    | 0 | 0 | 0 |  |
| FOR QUESTION 97. Consider a patient whose typical physical requirements may aggravate his or her condition.   |   |  |   |      |   |   |   |  |
| 97. What is the risk to the patient's health or safety if a chiropractor omits, poorly writes, or ineffectively transmits a physical restriction order such that it is <u>not</u> acceptable to, or <u>not</u> timely received by, necessary recipients (e.g. workers' compensation payors and employers)?  |   |  | 0 | 0    | 0 | 0 | 0 |  |
| FOR QUESTION 98. Consider a patient whose chiropractor receives a request for a narrative report.   |   |  |   |      |   |   |   |  |
| <b>98.</b> What is the risk to the patient's health or safety if a chiropractor omits, poorly writes, or ineffectively transmits the narrative report such that the patient's relevant clinical information is <b>not</b> effectively or timely communicated to necessary recipients?   |   | 0  | 0 | 0    | 0 | 0 |   |  |
| FOR QUESTION 99. Consider a patient who has reached maximum therapeutic benefit.  |   |  |   |      |   |   |   |  |
| 99. What is the risk to the patient's health or safety if a chiropractor fails to timely release the patient from active care?  |   | 0  | 0 | 0    | 0 | 0 |   |  |